

THOMAS E. MURPHY, CLU®

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PROFESSIONAL EXPERIENCE

Northwestern Mutual Wealth Management Company

Associate Wealth Management Advisor (2013 – Present)

- Provide investment support for an advisor with \$100 million assets under management
- Monitor and service existing client accounts using NetX360 and Envestnet
- Research mutual funds and stocks using Morningstar Advisor Work Station
- Construct portfolios with asset allocation models based on clients' financial goals and objectives
- Analyze sectors and capital markets to establish tactical investment strategies
- Initiate portfolio transactions including re-balancing, tax loss harvesting, and securities trading
- Present market and portfolio updates for client review meetings

Associate Financial Representative (2011 –2013)

- Generated investment proposals for prospective clients
- Prepared new account opening paperwork
- Facilitated the client onboarding process and transferring of new assets to the firm
- Helped improve and maintain existing client relationships through annual meetings, investment reports, and ongoing client communication
- Completed client service requests in a timely and market sensitive manner

Renaissance Financial Corporation

Private Wealth Management Intern (2009 – 2010)

- Researched mutual funds and presented the analysis to a group of wealth management advisors
- Prepared portfolio reports to review potential clients' existing assets using Morningstar

QUALIFICATIONS

Chartered Financial Analyst Level II Candidate (Present)

Chartered Life Underwriter (2015)

FINRA Series 7 License (2013)

NASAA Series 63 License (2013)

Life and Health Insurance Licenses (2011)

EDUCATION

Creighton University, Omaha, NE

- Bachelor of Business Administration: Finance, Class of 2010
- Cumulative GPA: 3.30
- Division I Student-Athlete: Cross Country

Marquette University High School, Milwaukee, WI

- Class of 2006

EXTRA-CURRICULAR

Perspectives Charter School: Young Professional Board (2014 – Present)

- Service Committee Chair